

Clark University

Financial Conflict of Interest in Sponsored Programs and Research Disclosure Form

This form must be completed by all faculty members and other individuals (staff, researchers, students, postdoctoral fellows and visiting researchers) who are responsible for the design, conduct or reporting of research or other educational programs/activities which are funded or proposed for funding by external funding agencies/institutions. These persons must disclose financial interests or associations that could affect, appear to affect, or be affected by the conduct of said research project or educational programs/activities. This form must be completed by each Investigator (persons responsible for the design, conduct or reporting) involved in the research project or educational program/activity with each application for funding. It is the responsibility of the Investigator to update this information with the Office of Sponsored Programs and Research at any time that it changes. It is the responsibility of the Principal Investigator to ensure that each Investigator working on his/her project submits this disclosure form and any necessary updates. All Investigators must update this form at least annually. For more information see Clark's Policy on [Financial Conflict of Interest \(FCOI\) in Sponsored Programs and Research](#) or contact the Office of Sponsored Programs and Research.

Name:

Title:

Department:

Phone:

Email:

Sponsor:

Proposal Title:

Principal Investigator (if different from above):

I. Outside Compensation

In the last 12 months through the next 12 months did/will you, your spouse/domestic partner or dependent children receive outside compensation related to your institutional responsibilities at Clark or this project specifically? Compensation includes anything of monetary value including, but not limited to salary or other payments for services such as consulting fees, honoraria, paid authorship, or sponsored travel (includes all modes of transportation that cost money such as taxi, rental car, airplane, bus, etc.). *Exceptions: Income from seminars, lectures or teaching engagements sponsored by U.S. government entities, U.S. institutions of higher education, or U.S. research institutes affiliated with higher education institutions.*

YES

NO

II. Equity/Ownership Interests

In the last 12 months through the next 12 months did/will you, your spouse/domestic partner or dependent children hold equity/ownership interests in a company? Equity/ownership interests includes stock, stock options or other ownership interests in a company or organization that is in any way related to your institutional responsibilities at Clark or this project specifically? *Exceptions: Interests in investment vehicles such as mutual funds or retirement accounts assuming you, your spouse or dependent children do not directly control the investment decisions made in those vehicles.*

YES

NO

III. Intellectual Property Rights

In the last 12 months through the next 12 months did/will you, your spouse/domestic partner or dependent children earn royalties in connection with intellectual property? This includes royalties on patents, copyrights, trademarks, or other IP that is related in any way to your institutional responsibilities at Clark or this project specifically.

YES

NO

IV. Other

Is there anything not covered in the above questions that you believe might constitute a potential conflict of interest or create the appearance of being a conflict of interest related to this project specifically.

YES

NO

If you responded “YES” to any of the above, please describe in detail below the financial interest or affiliation and its relationship to your institutional responsibilities and/or the specific project. If the interest is money or something of value that you (or your spouse/domestic partner or dependent children) will have received or will receive, you must disclose the amount of money or value (or the current value in the case of equity or percentage of ownership interests). The University may seek additional information as necessary.

V. Principal Investigators Only

Please list the name, role, and email address of all individuals at Clark engaged in or responsible for the conduct, design or reporting of the sponsored research or activity. This may include (but is not limited to) co-investigators, staff, students, postdoctoral fellows, visiting researchers, etc.

NAME	ROLE	EMAIL

VI. Certification and Acknowledgements

I certify that the above information is complete and true to the best of my knowledge and that I have reviewed Clark’s [Financial Conflict of Interest in Sponsored Programs and Research](#) policy. I acknowledge that I am responsible for updating this form on an annual basis or within 30 days of the acquisition or discovery of any updates or changes to any of the above.

Signature:

Date:

VII. Administrative Review and Certification

Based on the information reported above, to the best of my knowledge and in my judgment, no conflict of interest exists.

Based on the information reported above, to the best of my knowledge and in my judgment a conflict of interest may exist and warrants further review.

Signature:

Date: